

# COVER SHEET

A 1 9 9 7 0 1 5 8 4

S.E.C. Registration Number

P H I L I P P I N E B U S I N E S S B A N K , I N C .  
a s s a v i n g s b a n k

(Company's Full Name)

3 5 0 R I Z A L A V E N U E E X T E N S I O N  
C O R N E R 8 T H A V E N U E G R A C E P A R K  
C A L O O C A N C I T Y

(Business Address: No. Street City / Town / Province)

Rolando G. Alvendia

Contact Person

363-3333 local 2004

Company Telephone Number

Month Day

Fiscal Year

General Information Sheet (GIS)

FORM TYPE

Month

Day

Annual Meeting

Secondary License Type, if Applicable

Dept. Requiring this Doc.

Dept. Requiring this Doc.

Amended Articles Number/Section

Amended Articles Number/Section

Total No. of Stockholders

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Domestic

Foreign

Foreign

To be accomplished by SEC Personnel concerned

File Number

File Number

LCU

LCU

Document I.D.

Document I.D.

Cashier

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2018

**STOCK CORPORATION**



**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank		<b>DATE REGISTERED:</b> 1/28/1997
<b>BUSINESS/TRADE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank		<b>FISCAL YEAR END:</b> December 31
<b>SEC REGISTRATION NUMBER:</b> A199701584		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 005-469-606
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Last Friday of May		<b>WEBSITE/URL ADDRESS:</b>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 25-May-18		<b>E-MAIL ADDRESS:</b>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 350 Rizal Avenue corner 8th Avenue, Gracepark, Caloocan City		<b>FAX NUMBER:</b>
<b>COMPLETE BUSINESS ADDRESS:</b> 350 Rizal Avenue corner 8th Avenue, Gracepark, Caloocan City		<b>TELEPHONE NUMBER(S):</b> 363-3333
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> Punong Bayan & Araullo - CHRISTOPHER M. FERAREZA		<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0115-A
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Banking		<b>INDUSTRY CLASSIFICATION:</b>
		<b>GEOGRAPHICAL CODE:</b>

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**       **Yes**       **No**

**Please check the appropriate box:**

- 1.**
- a. Banks
  - b. Offshore Banking Units
  - c. Quasi-Banks
  - d. Trust Entities
  - e. Non-Stock Savings and Loan Associations
  - f. Pawnshops
  - g. Foreign Exchange Dealers
  - h. Money Changers
  - i. Remittance Agents
  - j. Electronic Money Issuers
  - k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

- 2.**
- a. Insurance Companies
  - b. Insurance Agents
  - c. Insurance Brokers
  - d. Professional Reinsurers
  - e. Reinsurance Brokers
  - f. Holding Companies
  - g. Holding Company Systems
  - h. Pre-need Companies
  - i. Mutual Benefit Association
  - j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

- 3.**
- a. Securities Dealers
  - b. Securities Brokers
  - c. Securities Salesman
  - d. Investment Houses
  - e. Investment Agents and Consultants
  - f. Trading Advisors
  - g. Other entities managing Securities or rendering similar services
  - h. Mutual Funds or Open-end Investment Companies
  - i. Close-end Investment Companies
  - j. Common Trust Funds or Issuers and other similar entities
  - k. Transfer Companies and other similar entities
  - l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
  - m. Entities administering of otherwise dealing in valuable objects
  - n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

**4.**  Jewelry dealers in precious metals, who, as a business, trade in precious metals

**5.**  Jewelry dealers in precious stones, who, as a business, trade in precious stone

**6.** Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

**7.** Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

**8.**  None of the above

Describe nature of business:

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**       **Yes**       **No**

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>	PHILIPPINE BUSINESS BANK, INC., A SAVINGS BANK
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**CAPITAL STRUCTURE**

AUTHORIZED CAPITAL STOCK					
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)	
10,000,000,000.00	COMMON	870,000,000	10.00	8,700,000,000.00	
	PREFERRED	130,000,000	10.00	1,300,000,000.00	
<b>TOTAL</b>		<b>1,000,000,000</b>	<b>TOTAL P</b>		<b>10,000,000,000.00</b>

SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	19	COMMON	634,287,654		10.00	6,342,876,540.00	98.53
	5	PREFERRED	62,000,000		10.00	620,000,000.00	100.00
<b>TOTAL</b>			<b>696,287,654</b>	<b>TOTAL P</b>		<b>6,962,876,540.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
OTHERS	1	COMMON	9,284,503		10.00	92,845,030.00	1.44
CHINESE	1	COMMON	177,937		10.00	1,779,370.00	0.03
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>TOTAL P</b>		<b>94,624,400.00</b>	
			<b>9,462,440</b>	<b>TOTAL SUBSCRIBED P</b>		<b>7,057,500,940.00</b>	

PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	19	COMMON	634,287,654	10.00	6,342,876,540.00	98.53	
	5	PREFERRED	62,000,000	10.00	620,000,000.00	100.00	
<b>TOTAL</b>			<b>696,287,654</b>	<b>TOTAL P</b>		<b>6,962,876,540.00</b>	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
OTHERS	1	COMMON	9,284,503	10.00	92,845,030.00	1.44	
CHINESE	1	COMMON	177,937	10.00	1,779,370.00	0.03	
<b>100.00 %</b>			<b>TOTAL</b>	<b>TOTAL P</b>		<b>94,624,400.00</b>	
			<b>9,462,440</b>	<b>TOTAL PAID-UP P</b>		<b>7,057,500,940.00</b>	

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** **PHILIPPINE BUSINESS BANK, INC., A Savings Bank**

### DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
1. FRANCIS T. LEE, FILIPINO	Y	C	M	Y	Chairman	C/C
2. JEFFREY S. YAO, FILIPINO	N	M	M	Y	Vice-Chairman	C/M & A/M
3. ROLANDO R. AVANTE, FILIPINO	N	M	M	Y	Pres. & C.E.O.	C/M
4. LETICIA M. YAO, FILIPINO	N	M	F	Y	Director	Not Applicable
5. ROBERTO A. ATENDIDO, FILIPINO	N	M	M	Y	Director	A/M & N/M
6. HONORIO O. REYES-LAO, FILIPINO	N	M	M	Y	Director	Not Applicable
7. DANILO A. ALCOSEBA, FILIPINO	N	M	M	Y	Director	A/M
8. PATERNO H. DIZON, FILIPINO	N		M	Y	Independent Director	A/M & N/M
9. BENJAMIN R. STA. CATALINA, JR., FILIPINO	N		M	Y	Independent Director	A/M & N/M
10. NARCISO DL. ERAÑA, FILIPINO	N	M	M	Y	Independent Director	A/M & N/M
11. *ROBERTO C. UYQUIENGCO, FILIPINO *will assume position upon SEC approval of the Bank's AOI.	N	M	M	Y	Independent Director	Not Applicable
12. PETER N. YAP, FILIPINO	N		M	Y	SEVP / CMO / Head - RBG	Not Applicable
13. JOSEPH EDWIN S. CABALDE, FILIPINO	N		M	Y	SVP/ Treasurer	Not Applicable
14. CONSUELO V. DANTES, FILIPINO	N		F	N	SVP/ HRG Head	Not Applicable
15. ATTY. ROBERTO S. SANTOS, FILIPINO	N		M	Y	VP / Corp. Secretary	Not Applicable

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** PHILIPPINE BUSINESS BANK, INC., A Savings Bank

### DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
16. REYNALDO T. BORINGOT, FILIPINO	N		M	N	SVP/ Bus. Dev. Officer	Not Applicable
17. ROSELLE M. BALTAZAR, FILIPINO	N		F	Y	FVP/Asst. Controller	Not Applicable
18. ROSENDO G. SIA, FILIPINO	N		M	N	SVP/ Bus. Dev. Officer	Not Applicable
19. FELIPE V. FRIGAL, FILIPINO	N		M	Y	FVP/ BBG Head	Not Applicable
20. JOSE MARIA P. VALDES, FILIPINO	N		M	N	FVP/ ITG Head	Not Applicable
21. ROLANDO G. ALVENDIA, FILIPINO	N		M	Y	Vice-President	Not Applicable
22. JOHN DAVID D. SISON, FILIPINO	N		M	N	VP/ Investor Relations Officer	Not Applicable
23. LAURENCE R. RAPANUT, FILIPINO	N		F	Y	Asst. Vice-President	Not Applicable
24. ATTY. LEONARDO C. BOOL, FILIPINO	N		M	N	AVP/Asst. Corp. Sec.	Not Applicable
25. TERESITA S. SION, FILIPINO	N		F	N	Asst. Vice-President	Not Applicable
26. EDUARDO R. QUE, FILIPINO	N		M	N	FVP / Head - Corp. B.G.	Not Applicable
27. BELINDA C. RODRIGUEZ, FILIPINO	N		F	N	FVP/CRO & Head - ERMG	Not Applicable
28. LIZA JANE T. YAO, FILIPINO	N		F	N	FVP/Head-GSC	Not Applicable
29. RODEL P. GENEBLAZO, FILIPINO	N		M	N	FVP / Head Co. B.G.	Not Applicable
30. ENRICO T. TEODORO, FILIPINO	N		M	Y	VP / Head - S.S.U.	Not Applicable

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## GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** PHILIPPINE BUSINESS BANK, INC., A Savings Bank

### DIRECTORS / OFFICERS

NAME AND NATIONALITY	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.
31. REYNALDO E. EBANEN, FILIPINO	N		M	N	AVP/ Acting CCO	Not Applicable
32. MIAMI V. TORRES, FILIPINO	N		F	Y	VP / Head - C.M.G.	Not Applicable
33. ANGELINE ANN H. HWANG, FILIPINO	N		F	N	SVP/ Head - Commercial Banking	Not Applicable
34. MA. JOYCE G. ZARATE, FILIPINO	N		F	Y	VP / Head - P.D.M.G.	Not Applicable
35. EMMA K. LEE, FILIPINO	N		F	N	AVP / Head - S.M.C.	Not Applicable
36. *****Nothing Follows*****						
37.						
23.						
24.						
25.						
26.						
27.						
28.						
29.						
30.						

**INSTRUCTION:**

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**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>	<b>PHILIPPINE BUSINESS BANK, INC., A Savings Bank</b>	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	<b>Twenty-one (21)</b>	<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</small>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: Php87,263,844,921.00</b>		

**STOCKHOLDER'S INFORMATION**

NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (Php)
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP	
1. ALFREDO M. YAO FILIPINO	Common	239,838,309	2,398,383,090.00	34.76%	2,453,383,090.00
	Preferred	5,500,000	55,000,000.00		
	<b>TOTAL</b>	<b>245,338,309</b>	<b>2,453,383,090.00</b>		
2. PCD NOMINEE CORPORATION (FILIPINO) FILIPINO * JAKA SECURITIES CORP. (34,307,170)	Common	218,718,052	2,187,180,520.00	30.99%	2,187,180,520.00
	<b>TOTAL</b>	<b>218,718,052</b>	<b>2,187,180,520.00</b>		
3. ZEST-O CORPORATION FILIPINO	Common	162,052,923	1,620,529,230.00	27.85%	1,965,529,230.00
	Preferred	34,500,000	345,000,000.00		
	<b>TOTAL</b>	<b>196,552,923</b>	<b>1,965,529,230.00</b>		
4. PCD NOMINEE CORPORATION (NON-FILIPINO) FOREIGN	Common	9,284,503	92,845,030.00	1.32%	92,845,030.00
	<b>TOTAL</b>	<b>9,284,503</b>	<b>92,845,030.00</b>		
5. LETICIA M. YAO FILIPINO	Common	1,620,536	16,205,360.00	0.23%	16,205,360.00
	<b>TOTAL</b>	<b>1,620,536</b>	<b>16,205,360.00</b>		
6. ERLINDA M. YAO FILIPINO	Common	1,620,536	16,205,360.00	0.23%	16,205,360.00
	<b>TOTAL</b>	<b>1,620,536</b>	<b>16,205,360.00</b>		
7. JEFFREY S. YAO FILIPINO	Common	1,620,536	16,205,360.00	1.15%	80,830,360.00
	Preferred	6,462,500	64,625,000.00		
	<b>TOTAL</b>	<b>8,083,036</b>	<b>80,830,360.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>6,812,178,950.00</b>	<b>96.52%</b>	<b>6,812,178,950.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*



**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>PHILIPPINE BUSINESS BANK, INC., A Savings Bank</b>			
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>Twenty-one (21)</b>		<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH</small>	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS: Php87,263,844,921.00</b>					
STOCKHOLDER'S INFORMATION					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	
8. CAROLYN S. YAO FILIPINO	Common	1,620,535	16,205,350.00	1.68%	118,705,350.00
	Preferred	10,250,000	102,500,000.00		
	<b>TOTAL</b>	<b>11,870,535</b>	<b>118,705,350.00</b>		
9. MARY GRACE S. YAO FILIPINO	Common	1,620,535	16,205,350.00	0.98%	69,080,350.00
	Preferred	5,287,500	52,875,000.00		
	<b>TOTAL</b>	<b>6,908,035</b>	<b>69,080,350.00</b>		
10. ARMANDO M. YAO FILIPINO	Common	857,143	8,571,430.00	0.20%	14,405,370.00
		324,108	3,241,080.00		
	<b>TOTAL</b>	<b>1,440,537</b>	<b>14,405,370.00</b>		
11. ROBERTO L. OBIEDO FILIPINO	Common	506,250	5,062,500.00	0.07%	5,062,500.00
	<b>TOTAL</b>	<b>506,250</b>	<b>5,062,500.00</b>		
12. JAMES G. DY FILIPINO	Common	468,750	4,687,500.00	0.07%	4,687,500.00
	<b>TOTAL</b>	<b>468,750</b>	<b>4,687,500.00</b>		
13. SIOT KENG G. DY FILIPINO	Common	375,000	3,750,000.00	0.05%	3,750,000.00
	<b>TOTAL</b>	<b>375,000</b>	<b>3,750,000.00</b>		
14. ROBERTO LEE OBIEDO FILIPINO	Common	375,000	3,750,000.00	0.05%	3,750,000.00
	<b>TOTAL</b>	<b>375,000</b>	<b>3,750,000.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>219,441,070.00</b>	<b>3.06%</b>	<b>219,441,070.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS					
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.					

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== **PLEASE PRINT LEGIBLY** =====

<b>CORPORATE NAME:</b>		<b>PHILIPPINE BUSINESS BANK, INC. A Savings Bank</b>			
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>Twenty-one (21)</b>		<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</small>	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS: Php87,263,844,921.00</b>					
<b>STOCKHOLDER'S INFORMATION</b>					
NAME AND NATIONALITY	SHARES SUBSCRIBED				AMOUNT PAID (PhP)
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP	
15. PETER Y. SEE FILIPINO	Common	375,000	3,750,000.00	0.05%	3,750,000.00
	<b>TOTAL</b>	<b>375,000</b>	<b>3,750,000.00</b>		
16. EUSEBIO S. GO FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
17. REYNATO KEH LIM FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
18. JOHNNY CHAN FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
19. ANTONIO D. TAN FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
20. JIMMY WAI PIU NG FILIPINO	Common	187,500	1,875,000.00	0.03%	1,875,000.00
	<b>TOTAL</b>	<b>187,500</b>	<b>1,875,000.00</b>		
21. OTHERS  FILIPINO FOREIGN	Common	1,097,617	10,976,170.00	0.18%	12,755,920.00
	Common	177,975	1,779,750.00		
	<b>TOTAL</b>	<b>1,275,592</b>	<b>12,755,920.00</b>		
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>25,880,920.00</b>	<b>0.37%</b>	<b>25,880,920.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>					
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>					

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
<b>CORPORATE NAME:</b> PHILIPPINE BUSINESS BANK, INC., A Savings Bank			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (Php)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS		NONE	
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>		<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>
NONE			
<b>3. TREASURY SHARES</b>		<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
		NONE	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (Php)</b>	<b>DATE DECLARED</b>	
5.1 CASH	NONE	NONE	
5.2 STOCK	NONE	NONE	
5.3 PROPERTY	NONE	NONE	
<b>TOTAL</b>	P		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
NONE	NONE	NONE	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	SEC	B S P	I C
<b>TYPE OF LICENSE/REGN.</b>	GSED	NONE	NONE
<b>DATE ISSUED:</b>	Dec. 19, 2008		
<b>DATE STARTED OPERATIONS:</b>	Feb. 03, 2003		
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in Php)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
21,110,000.00	586	880	1,466

NOTE: USE ADDITIONAL SHEET IF NECESSARY

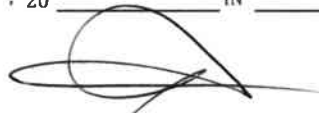
I, ATTY. ROBERTO S. SANTOS CORPORATE SECRETARY OF THE ABOVE-MENTIONED  
(Name) (Position)

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF \_\_\_\_\_ ( ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

**I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.**

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS \_\_\_\_\_ DAY OF 13 JUN 2018 IN \_\_\_\_\_



(SIGNATURE)

ATTY. ROBERTO S. SANTOS

CALOOCAN CITY

SUBSCRIBED AND SWORN TO BEFORE ME IN \_\_\_\_\_ CITY/PROVINCE, PHILIPPINES ON

13 JUN 2018

\_\_\_\_\_ AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT

EVIDENCE OF IDENTITY TIN: 123-467-623 ISSUED AT \_\_\_\_\_ ON \_\_\_\_\_

**NOTARY PUBLIC FOR**

Notarial Commission No. \_\_\_\_\_

Commission expires on December 31, \_\_\_\_\_

Roll of Attorney Number \_\_\_\_\_

PTR No. \_\_\_\_\_

IBP No. \_\_\_\_\_

Office Address: \_\_\_\_\_

ATTY. NIÑO CHRISTOPHER R. PURA  
Notary Public (NG-348 Valid Until Dec. 31, 2019)

Roll of Attorney's No. 53988

PTR No. 9405066; 01.03.16, Caloocan

IBP No. 034127; 03.01.18, Calmana

MCLE Cert. No. V-0023535; 08.18.16

350 Rizal Ave. Cor. 8th Ave. Grace Park, Caloocan

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